DT02 Rec'd PCT/PTO

2 & FEB **2005** 

PTO-1390 (Rev. 07-2004) Approved for use through 3/31/2007. OMB 0651-0021 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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## TRANSMITTAL LETTER TO THE UNITED STATES **DESIGNATED/ELECTED OFFICE (DO/EO/US)**

ATTTORNEY'S DOCKET NUMBER 9917-002

FR 1.5) **CONCERNING A SUBMISSION UNDER 35 U.S.C. 371** INTERNATIONAL APPLICATION NO. PCT/KR2003/001755 INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED 29 August 2003 30 August 2002 TITLE OF INVENTION DYNAMIC MICRO SPEAKER WITH DUAL SUSPENSION APPLICANT(S) FOR DO/EO/US Ok-Jung YOO Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. This is a **SECOND** or **SUBSEQUENT** submission of items concerning a submission under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. The US has been elected (Article 31). A copy of the International Application as filed (35 U.S.C. 371(c)(2)) a. X is attached hereto (required only if not communicated by the International Bureau). has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). is attached hereto. Filed in English has been previously submitted under 35 U.S.C. 154(d)(4). Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: 11. 🔲 An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. X A preliminary amendment. An Application Data Sheet under 37 CFR 1.76. 15. L A substitute specification. 16. X A power of attorney and/or change of address letter. 17 A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825. 18. L A second copy of the published International Application under 35 U.S.C. 154(d)(4). 19. A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4). 20. X Other items or information: Transmittal Letter, PCT Request and WIPO Publication

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450, DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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U.S. APPLICATION NO. (if known, see 37 CER 15)  10 15 26 3 22  INTERNATIONAL APPLICATION NO. PCT/KR2003/001755			ATTORNEY'S DOCKET NUMBER 9917-002		
21. The following	fees are submitted:			CALCULATIONS	PTO USE ONLY
BASIC NATIONAL FEE (CFR 1.492(a)(1)–(5)):					
Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO				·	
International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO\$920.00				:	
International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO					
International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4)					
International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4)				\$1080.00	
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$	
Total claims	6 - 20 =		X \$18.00	<b>\$</b> 0	
Independent claims	1 -3=		X \$86.00	<b>\$</b> 0	
MULTIPLE DEPENDE	NT CLAIM(S) (if applicable)		+ \$290.00	<b>\$</b> O	
TOTAL OF ABOVE CALCULATIONS =				\$1080.00	
Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by ½.				\$540.00	
SUBTOTAL =				\$540.00	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				<b>\$</b> 0	
TOTAL NATIONAL FEE =				\$	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). <b>\$40.00</b> per property +				\$0	,
TOTAL FEES ENCLOSED =				\$540.00	
				Amount to be refunded:	\$
				Amount to be charged:	\$
a. A check in the amount of \$ to cover the above fees is enclosed.					
b. Please charge my Deposit Account No in the amount of \$ to cover the above fees.  A duplicate copy of this sheet is enclosed.					
c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 13–1703. A duplicate copy of this sheet is enclosed.					
d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.					
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.					
SEND ALL CORRESPONDENCE TO:					
Hosoon Lee					
Marger Johnson & McCollom P.C.  SIGNATURE HOSOON				T 00	
1030 Sw Morrison St.			ited Recognit	ion Under	
Portland, Oregon 9/205 USA			CFR 10.9 (b)	LOII VIICE	
(503) 222–3613					

14. L. P. . .

PATENT APPLICATION ey's Do. No. 9917-002 Client Ref. No. P2004/30039/US

**EXPRESS MAIL** 

MAILING LABEL NO. EV 321249525 US

February 28, 2005 DATE OF DEPOSIT:

I HEREBY CERTIFY THAT THIS PAPER AND ENCLOSURES AND/OR FEE ARE BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE "EXPRESS MAIL POST OFFICE TO ADDRESSEE" SERVICE UNDER 37 CFR 1.10 ON THE DATE INDICATED ABOVE AND IS ADDRESSED TO:MAIL STOP PCT, COMMISSIONER FOR PATENTS, P.O. BOX 1450, ALEXANDRIA, VA 22313-1450

NATASHA FRENCH (SENDER'S PRINTED NAME)

(SIGNATURE)

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE U.S. RECEIVING OFFICE (RO/US)

In Re:

International Application No. PCT/KR2003/001755

Filed:

August 29, 2003

Applicant:

Ok-Jung YOO

Title of Invention:

DYNAMIC MICRO SPEAKER WITH DUAL SUSPENSION

Agent's File Ref.:

Docket No. 9917-002

Mail Stop PCT Commissioner for Patents and Trademarks P.O. Box 1450 Alexandria, VA 22313-1450

## **Transmittal Letter**

Enclosed for filing regarding the above-referenced International Application are the following:

Transmittal letter to U.S. Designated Office (DO/EO/US)  $\boxtimes$ 

concerning filing under 35 U.S.C. 371;

Copy of International Search Report

Specification (pages 1-11); claims (pages 12-13); abstract (page 14)

**Preliminary Amendment** 

FIVE sheet(s) of FORMAL drawings FIGS. (1a-1b, 2a-2b, 3a-3c, 4, 5a-5b))

Combined Declaration and Power of Attorney (UNSIGNED)

Applicant claims small entity status (see 37 CFR 1.27)

Copy of Published Application WO 2004/021739 A1

Copy of Notice of Limited Recognition Under 37 CFR § 10.9(b)

Copy of PCT Request

Return Postcard

PTO Form 2038 authorizing credit card payment for the above-listed fees is enclosed.

Any deficiency or overpayment should be charged or credited to deposit account number 13-

1703.

Respectfully submitted,

MARGER JOHNSON & McCOLLOM, P.C.

Hosoon Lee

Limited Recognition Under 37 CFR § 10.9(b)

MARGER JOHNSON & McCOLLOM, P.C. 1030 SW Morrison Street Portland, OR 97205 503-222-3613